

PTO/SB/21 (08-00) Approval for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

09/899,513

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 

TRANSMITTAL			Filing Date		Jul 5, 2001 🛱 🛒 📉	
EB 15 2002 FORM # 6			First Named Inventor		Hu, Yi  1651  Not Yet Assigned	
			Group Art Unit		1651	
(16 ) of all correspondence after initial filing		fter initial filing)	Examiner Name		Not Yet Assigned & S	
Total Number of Pages in This Submissio		ıbmission 8	Attorney Docket Number		LEX-0200-USA	
ENCLOSURES (check all that apply)						
Fee Tra	Fee Transmittal Form		nment Papers an Application)		After Allowance Communication to Group	
☐ F	Fee Attached Drawing		)		Appeal Communication to Board of Appeals and Interferences	
Amendr	Amendment / Reply		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
□ A	After Final Petition				Proprietary Information	
A	idavite/declaration(e)		Convert to a I Application		Status Letter	
Extension			Attorney, Revocation of Correspondence Address		Other Enclosure(s) (please identify below):	
Express Abandonment Request		T			urn Postcard 01449 and copies of 59 references cited	
Information Disclosure Statement		Request for Refund				
	Certified Copy of Priority Document(s)		CD, Number of CD(s)			
	Response to Missing Parts/ Incomplete Application		References cited in 2 binde	ers		
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm <i>or</i> Individual nar	Firm					
Signature Lance K. Durning by Duril Dr. Thou Res. No. 41,071 PATENT TRADEMARK OFFICE						
Date February 7, 2002					<u> </u>	
CERTIFICATE OF MAILING						
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: February 7, 2002						
Typed or printed name   Michelle Klein						
Signature  Date February 7, 2002  Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments						

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEB | 5 2002 Applicant(s):

Hu et al.

Dolication No.:

09/899,513

Filed:

July 5, 2001

Group Art Unit:

Title:

Novel Human Membrane Proteins and

Examiner:

t: 1654)
Not Yet Assigned

Polynucleotides Encoding the Same

Attorney Docket No.: LEX-0200-USA

Assistant Commissioner for Patents Washington, D.C. 20231
Box DD

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
under 37 CFR 1.97(c) together with either a:  Certification under 37 CFR 1.97(e), or  a \$240.00 fee under 37 CFR 1.17(p), or  (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
under 37 CFR 1.97(d) together with a:  Certification under 37 CFR 1.97(e), and  a petition under 37 CFR 1.97(d)(2)(ii), and  a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

The Commissioner is hereby authorized to charge any additional fee required or credit any overpayment for this Information Disclosure Statement to Lexicon Genetics Deposit Account No. 50-0892. A copy of this sheet is enclosed.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

February 7, 2002

Date

Lance K. Dhumoto by Davil N. Ilben Res

Lance K. Ishimoto

eg. No. 41,866

LEXICON GENETICS INCORPORATED (281) 863-3333

24231

PATENT TRADEMARK OFFICE